

## **Spatial Hearing: Single Sound Source in Free Field**

**Piotr Majdak & Bernhard Laback**

<http://www.kfs.oeaw.ac.at>

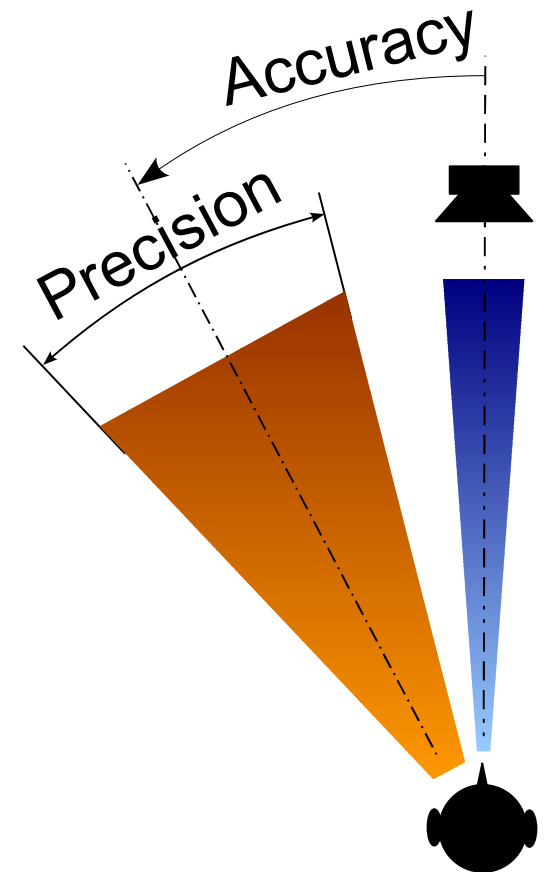
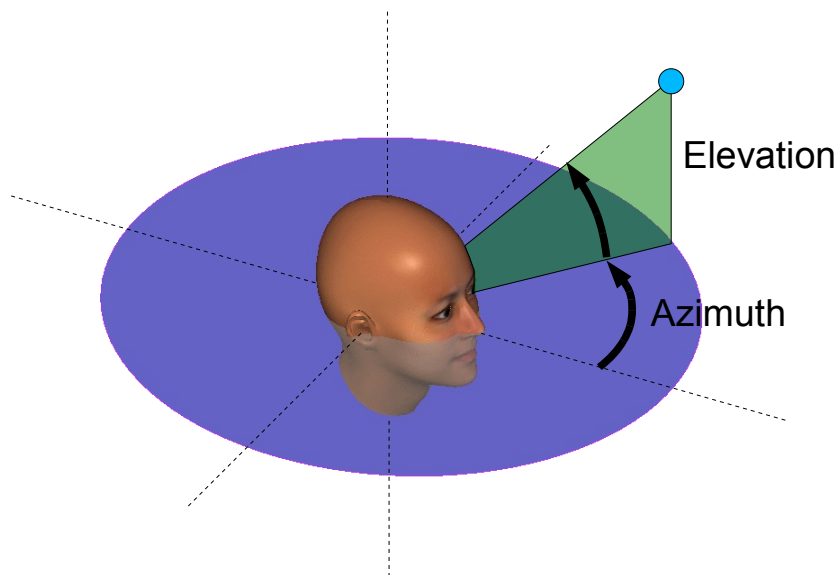
[piotr@majdak.com](mailto:piotr@majdak.com)

# Spatial Hearing

- Object identification (outside the field-of-view)
- Multiple sound sources:
  - Analysis of the auditory scene
  - Focusing on a target (cocktail-party effect)
- Enclosed auditory spaces:
  - Multiple echos of the sound (reverberation)
  - Complex perceptual effects:
    - Sound perception (directivity, distance)
    - Room perception
    - Sound-room interaction (Jot, 1999)

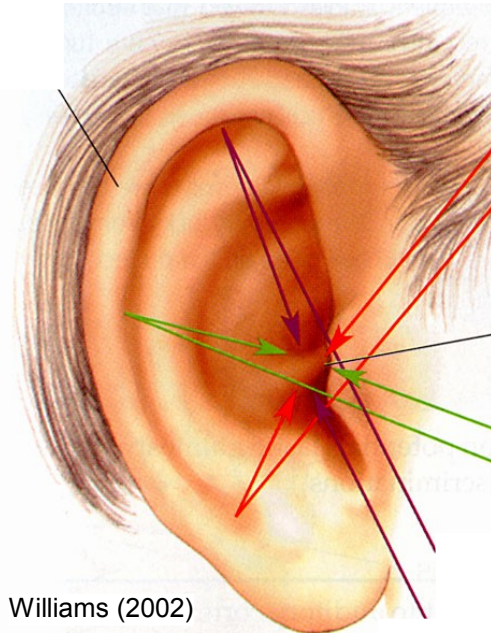
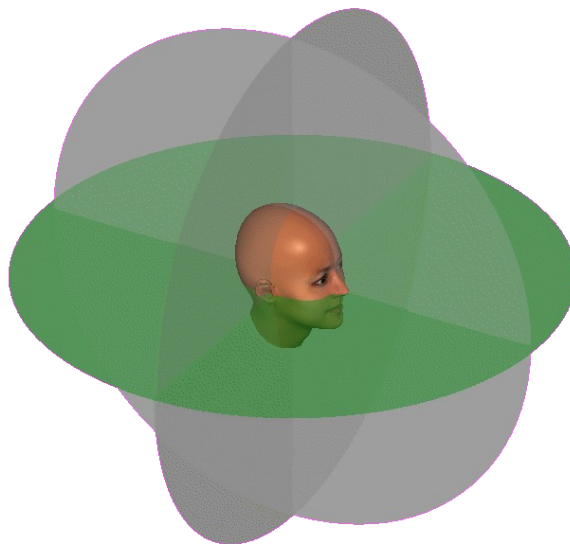
# Spatial Hearing: Simple Case

- Single sound source in free field:
  - No room (no reflections)
  - No precedence effect
  - No distance (Direction only)



# Localization of Sound Sources

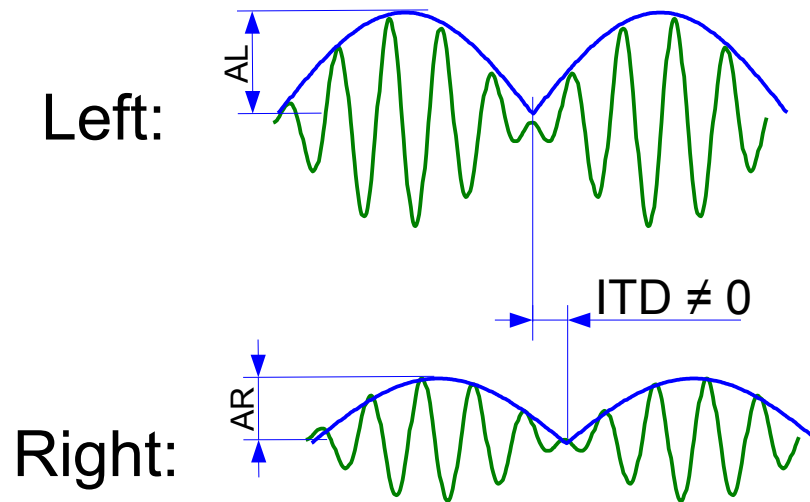
- Signals from two receivers available:
  - Aligned in the horizontal plane
  - Asymmetries in the geometry of the individual receivers
    - Direction-dependent spectral change of incoming sound



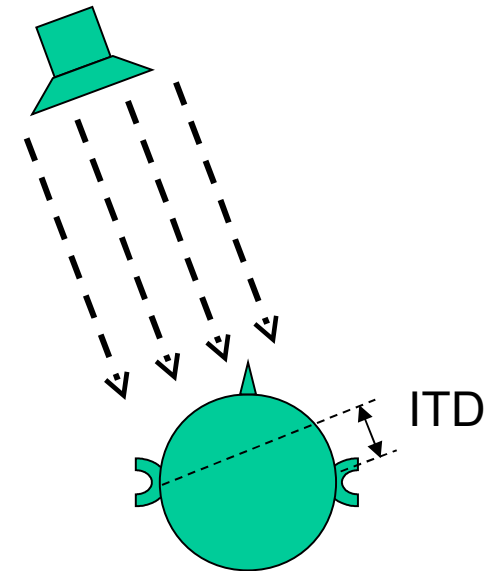
Williams (2002)

# Sound Localization in the Horizontal Plane

- Interaural level differences (ILDs)
- Interaural time differences (ITDs)

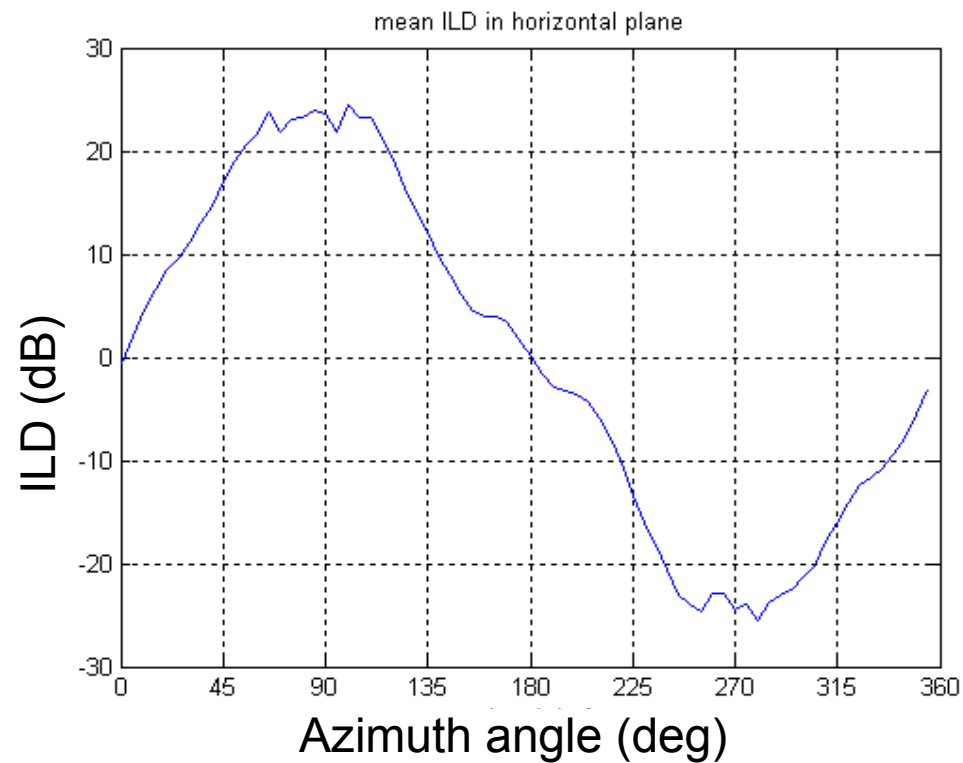


ILD:  $AL \neq AR$



# Interaural Level Differences

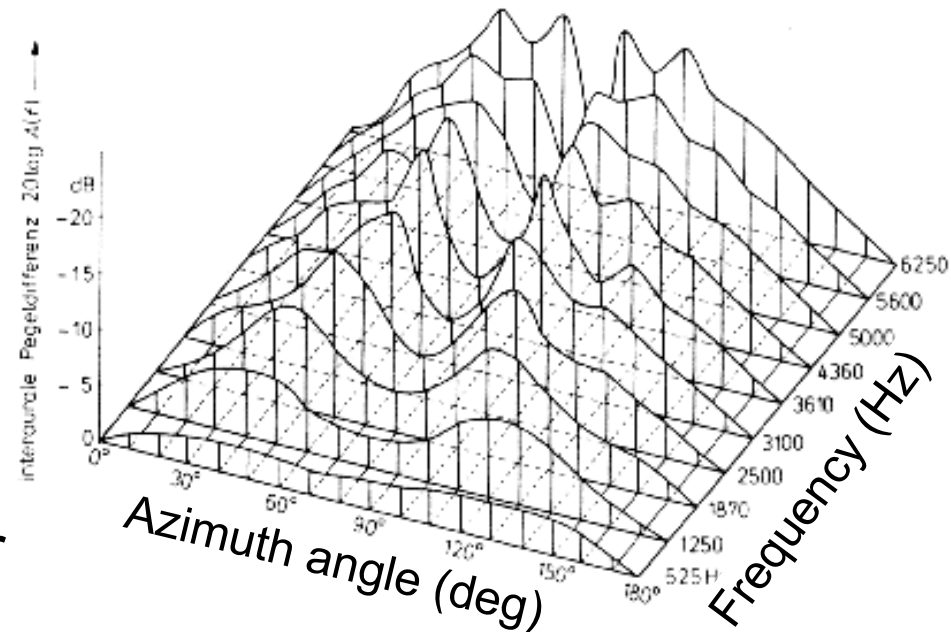
- Broadband ILD of dummy head:



# Interaural Level Differences

- Frequency dependent:
  - Wave length larger than head diameter:
    - Little effect
  - Wave length in the range of the head diameter:
    - Diffraction
  - Wave length smaller than head diameter:
    - Large attenuation due to shadowing effects

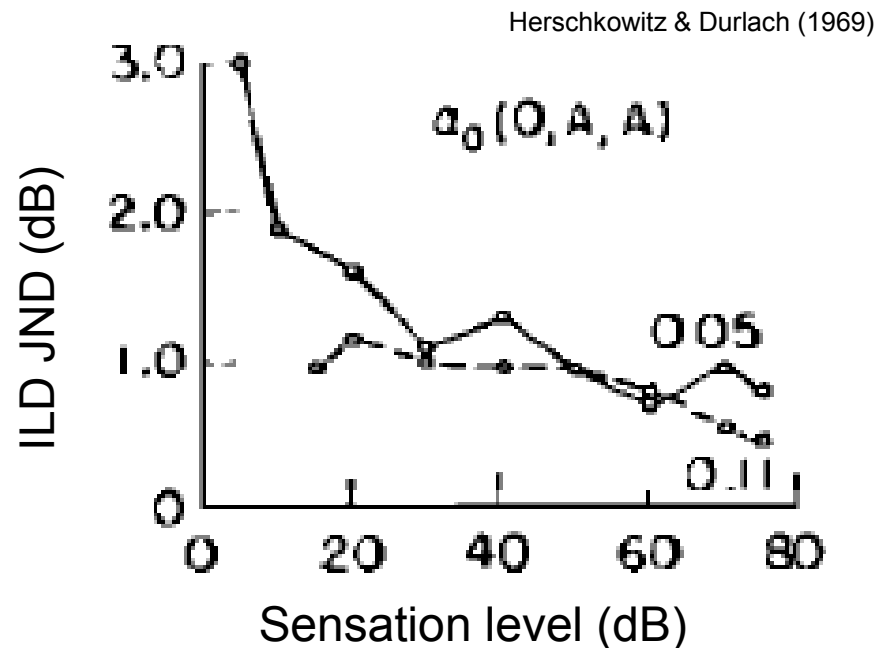
ILD (sphere model)



Blauert (1974)

# Interaural Level Differences

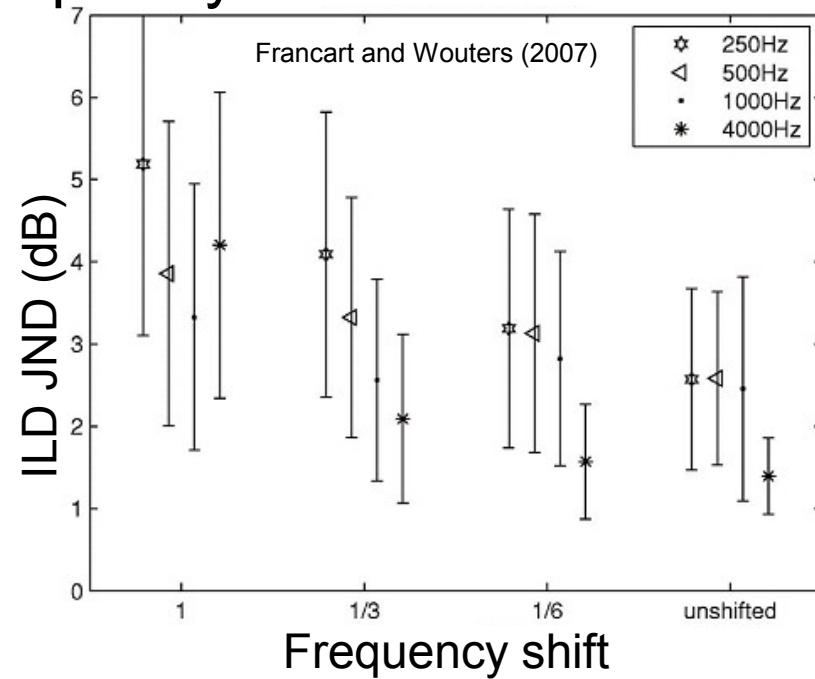
- Perceptual threshold (just noticeable diff., JND):
  - In the order of 1 dB (Hall, 1964 and others)
  - Depends on the sound level (Herschkowitz & Durlach, 1969)





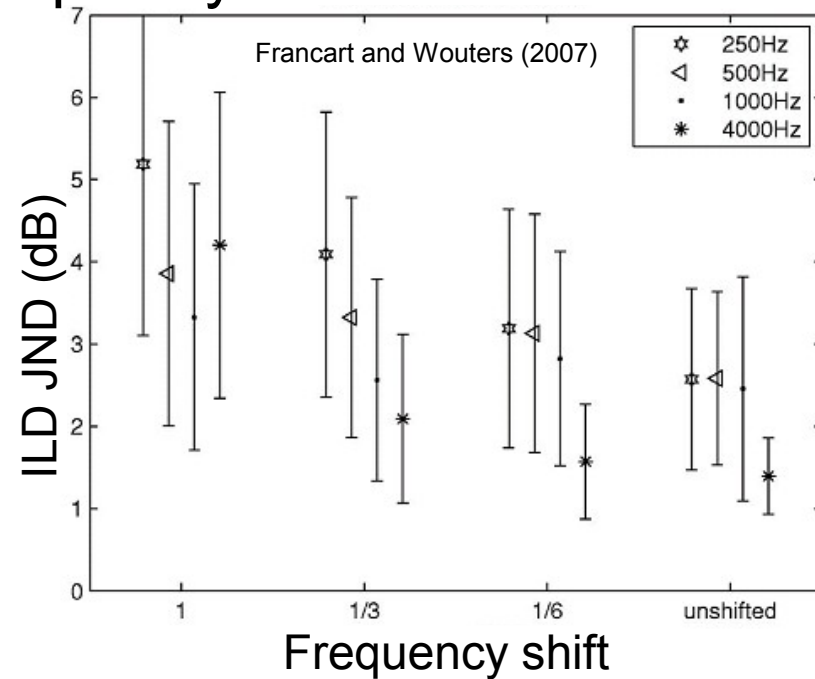
# Interaural Level Differences

- Perceptual threshold:
  - In the order of 1 dB (Hall, 1964 and others)
  - Depends on the sound level (Herschkowitz & Durlach, 1969)
  - Small dependency on frequency and frequency shift between the two ears (Francart and Wouters, 2007)



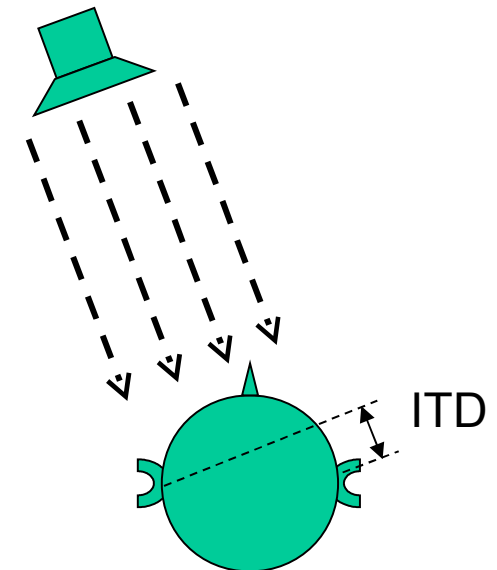
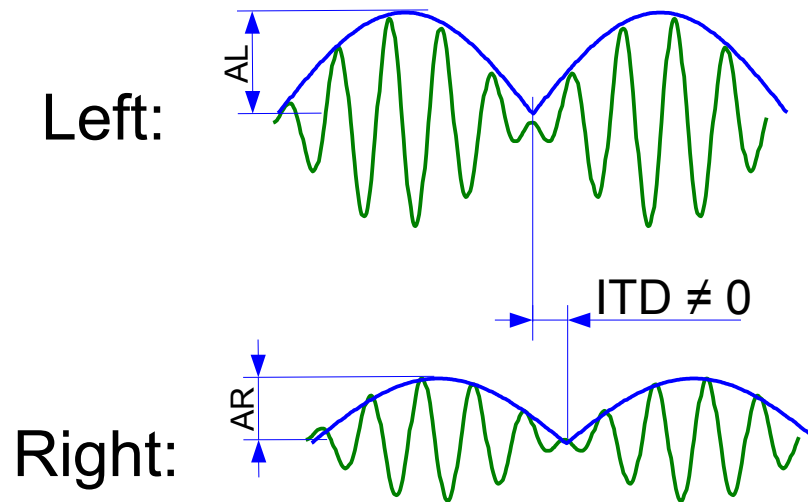
# Interaural Level Differences

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  - Depends on the sound level (Herschkowitz & Durlach, 1969)
  - Small dependency on frequency and frequency shift between the two ears (Francart and Wouters, 2007)
  - Depends on the **lateral sound position** (Bernstein, 2004)



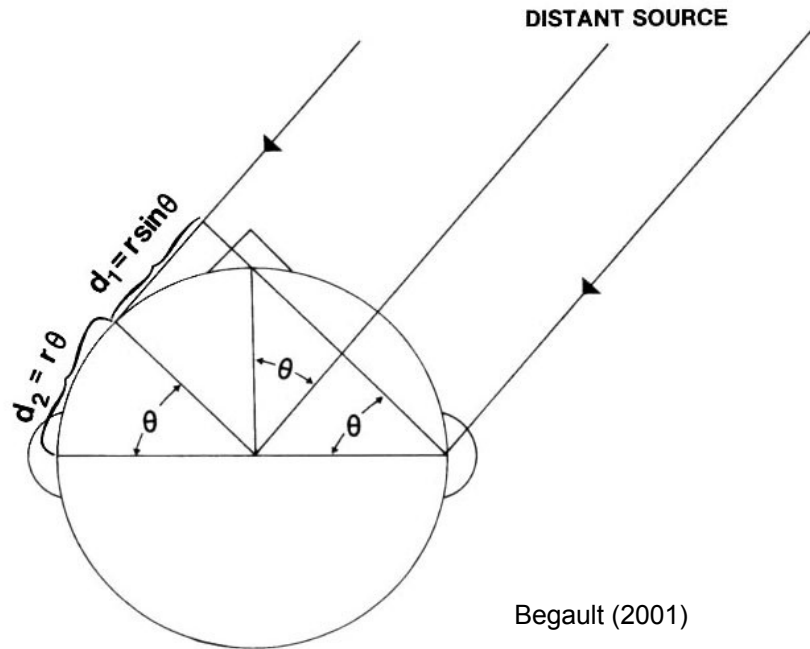
# Sound Localization in the Horizontal Plane

- Interaural level differences (ILDs)
- **Interaural time differences (ITDs)**



# Interaural Time Differences

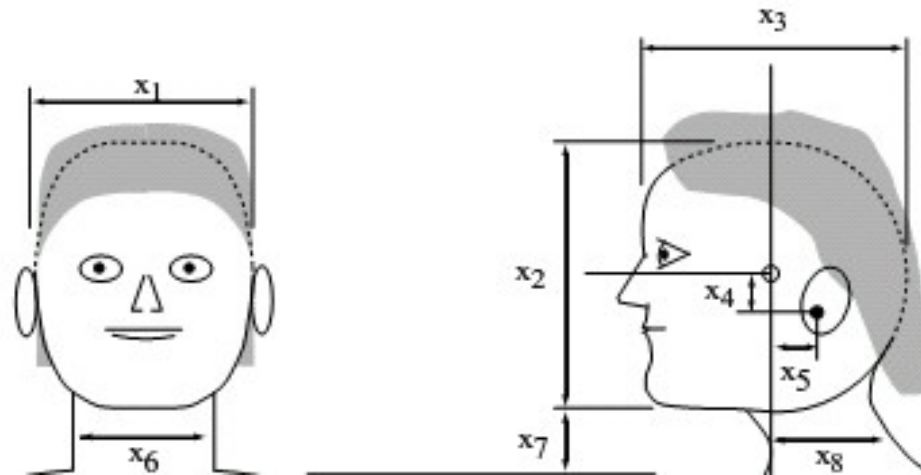
- $ITD = \frac{r}{c} (\theta + \sin \theta)$  (Woodworth & Schlosberg, 1962)



# Interaural Time Differences

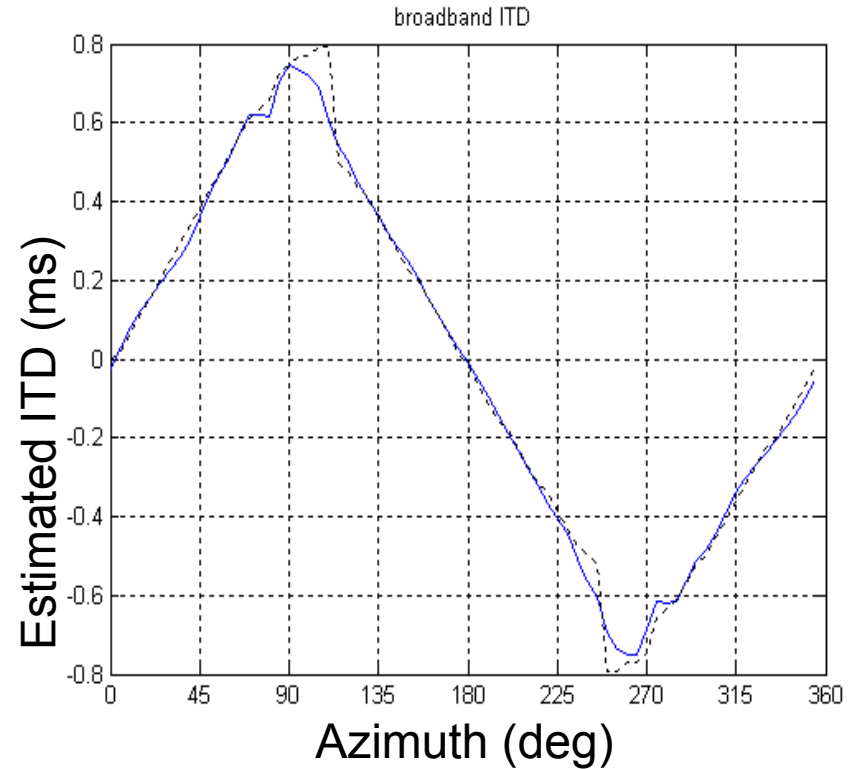
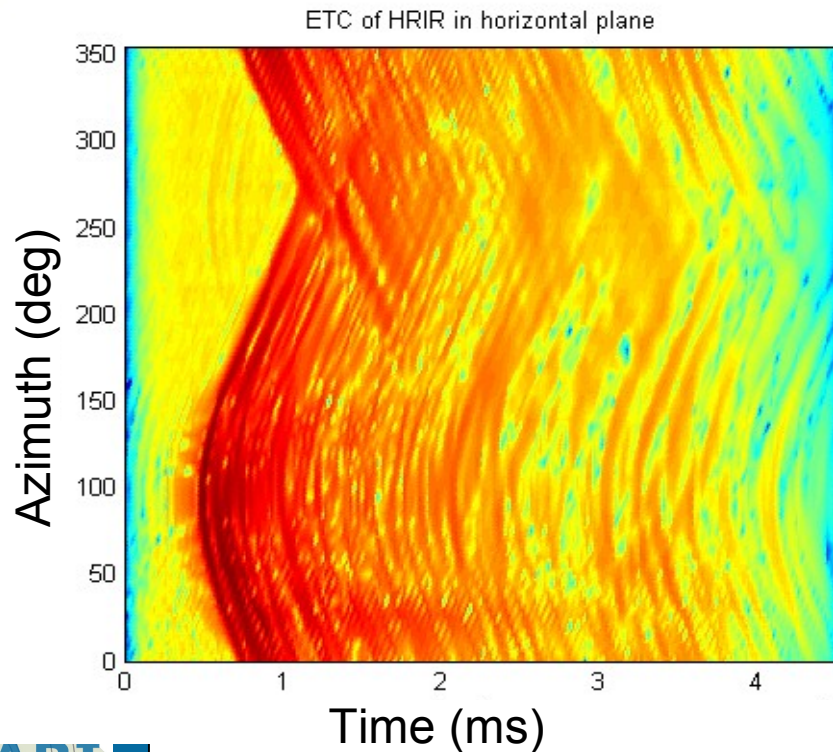
- $ITD = \frac{r}{c} (\theta + \sin \theta)$  (Woodworth & Schlosberg, 1962)
- $r \rightarrow r_e$ :

$$r_e = 0.51 x_1 + 0.18 x_3 + 0.032 \quad (\text{Algazi et al., 2001})$$



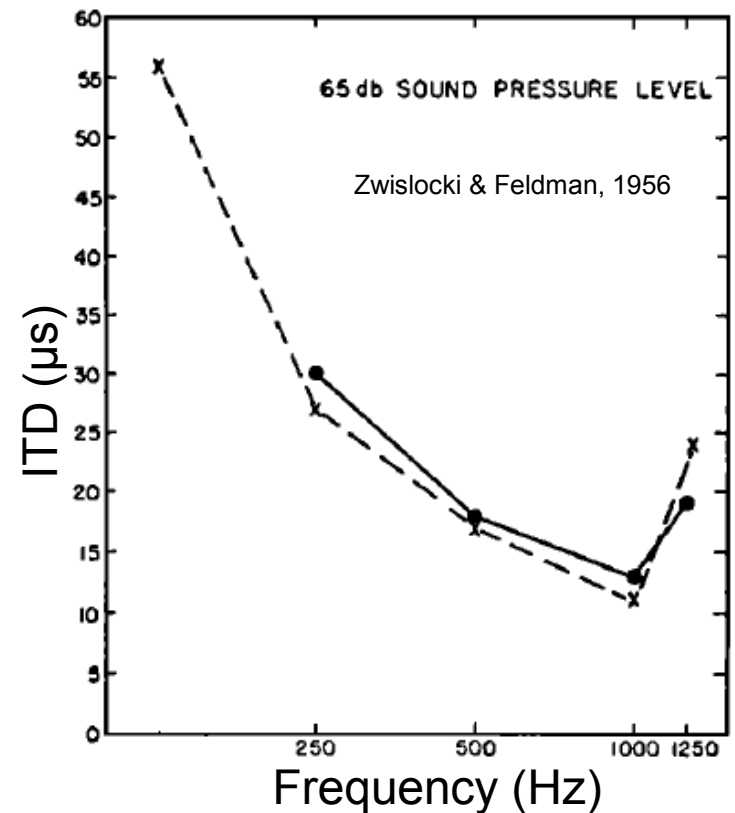
# Interaural Time Differences

- Physical range: +/- 800  $\mu\text{s}$



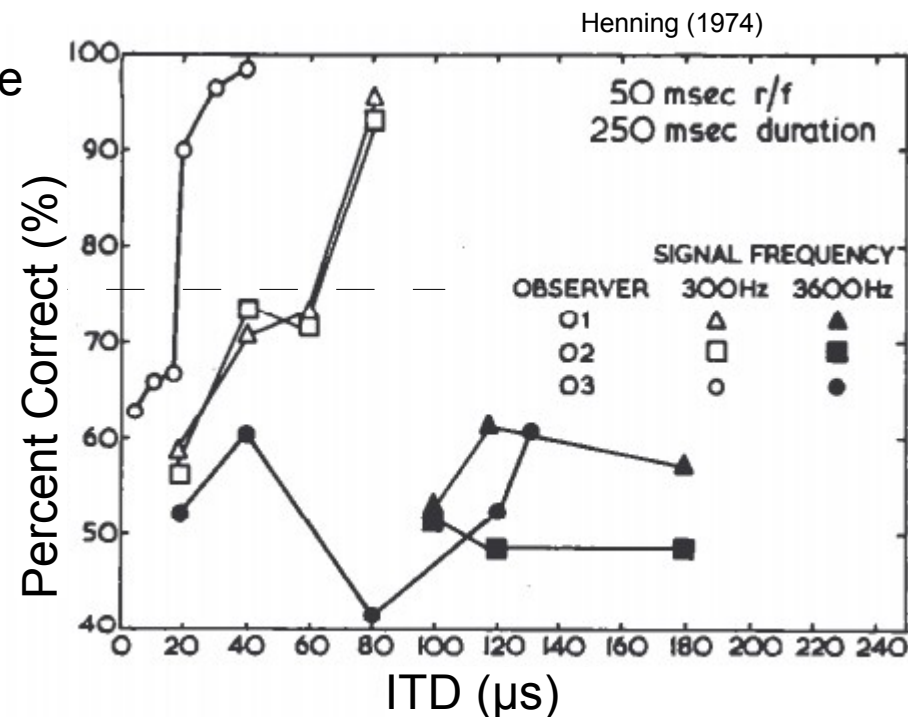
# Interaural Time Differences

- Perceptual threshold:
  - Best conditions: In the order of  $10\ \mu\text{s}$



# Interaural Time Differences

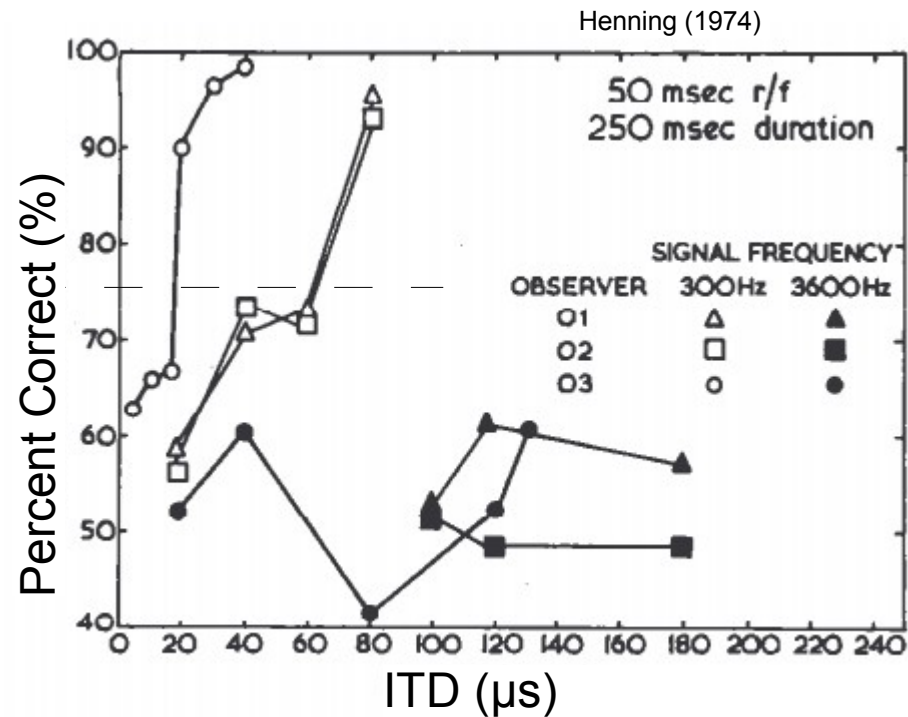
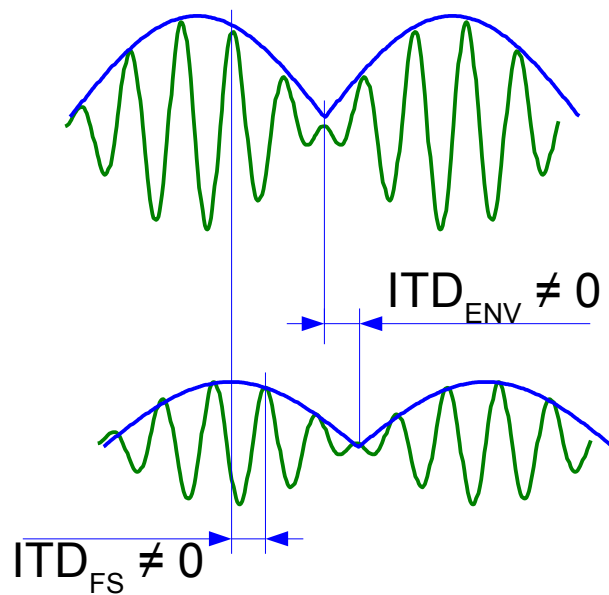
- Perceptual threshold:
  - Best conditions: In the order of 10  $\mu\text{s}$
  - Pure tones: depends on frequency
    - Ambiguity of the ongoing ITD in the phase
    - Refractory time of the neurons





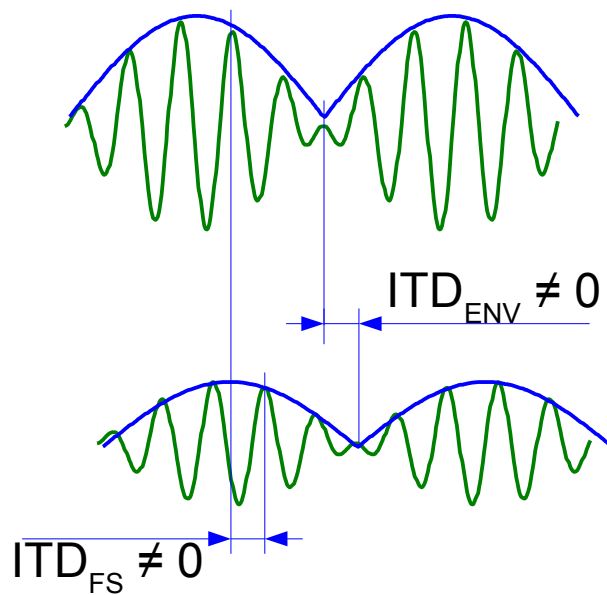
# Interaural Time Differences

- Perceptual threshold in complex signals:
  - Pure-tone ITD → ITD in the fine structure

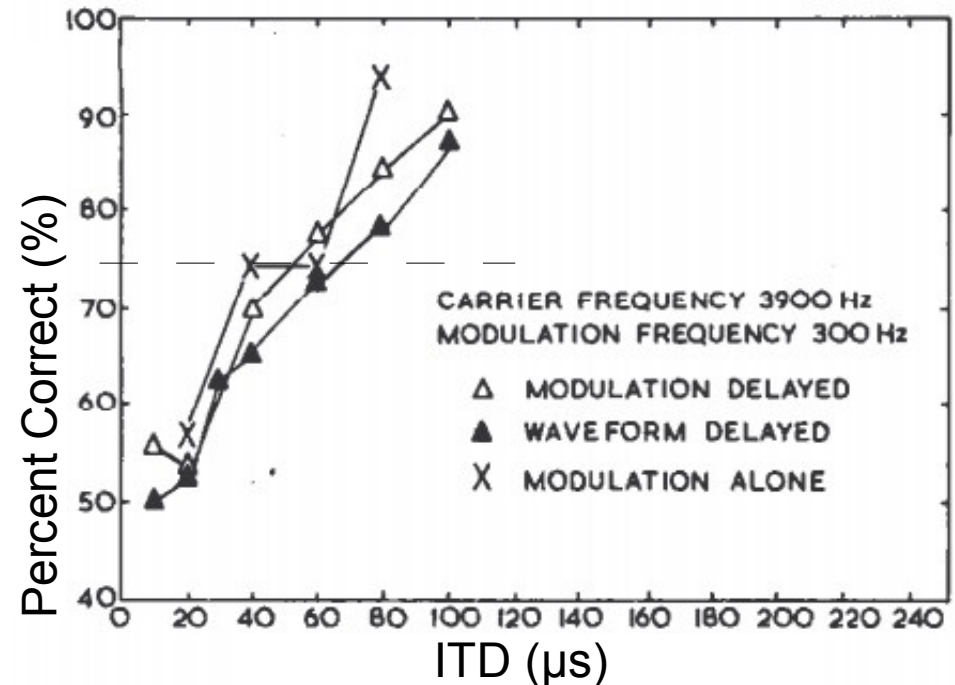


# Interaural Time Differences

- Perceptual threshold in complex signals:
  - Pure-tone ITD → ITD in the fine structure
  - Modulations: ITD in the envelope

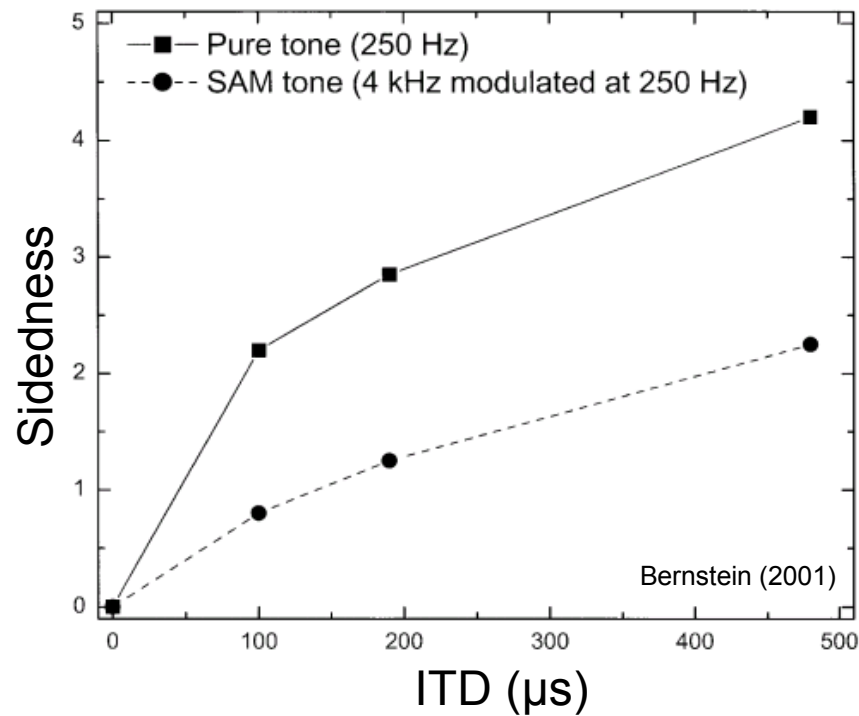


Henning (1974)



# Interaural Time Differences

- Lateralization based on ITD:
  - Low-frequency (pure-tone) ITD: strong cue
  - High-frequency (envelope) ITD: weaker cue



# Localization Cues for the Horizontal Plane

- ILD (broadband)?
  - ITD (broadband)?
  - Envelope ITD (high frequencies)?
  - Spectral cues?
    - Interaural spectral differences?
    - Monaural cues?
  - **Duplex theory** (Rayleigh 1907 & others)
    - Low frequency range: ITDs
    - High frequency range: ILDs
- Does the duplex theory still hold?

# Duplex Theory Revisited

## Macpherson & Middlebrooks (2002)

- ILD weight:
  - 0.52 (broadband); 0.24 (low-pass); 0.82 (high-pass)
- ITD weight:
  - 0.82 (broadband); 0.88 (low-pass); 0.24 (high-pass)
  - Envelope ITD weight (broadband):  
dep. on onset and modulation
- Interaural spectral difference weight:
  - Same as broadband ILD
- Monaural (near-ear) spectrum weight:
  - 0.03 (broadband); 0.03 (high-pass)

# Localization Cues for the Horizontal Plane

- High-frequency ILD
- Low-frequency ITD
- Onset-ITD and ITD in the ongoing modulation
- *Spectral information not relevant*  
*(neither monaural nor binaural)*
- Valid for the lateral dimension in horizontal plane only!

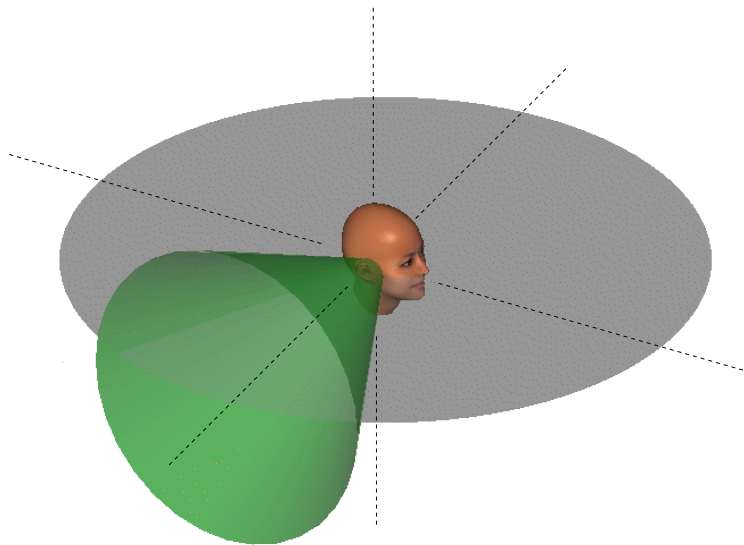
(Rayleigh 1876)

The possibility of distinguishing a voice in front from a voice behind would thus appear to depend on the compound character of the sound in a way that it is not easy to understand, and for which the second ear would be of no advantage.

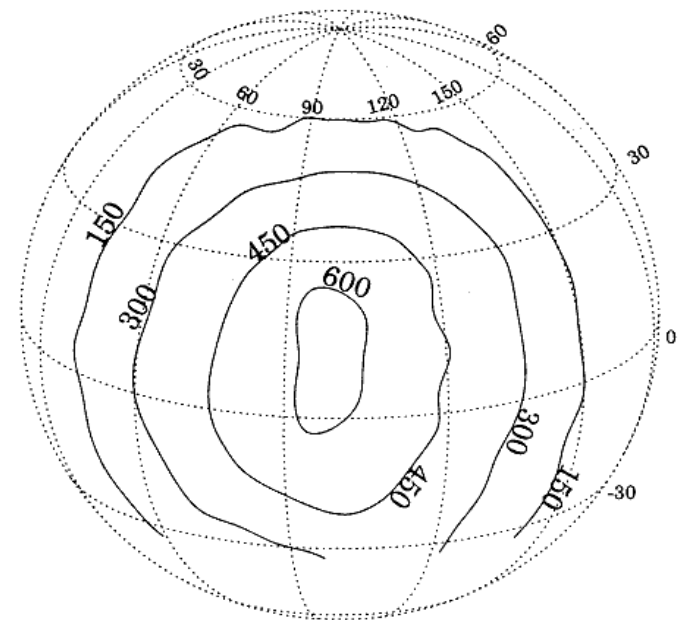
# Cone of Confusion

- ITD-based front-back ambiguity:

Modeled



Measured

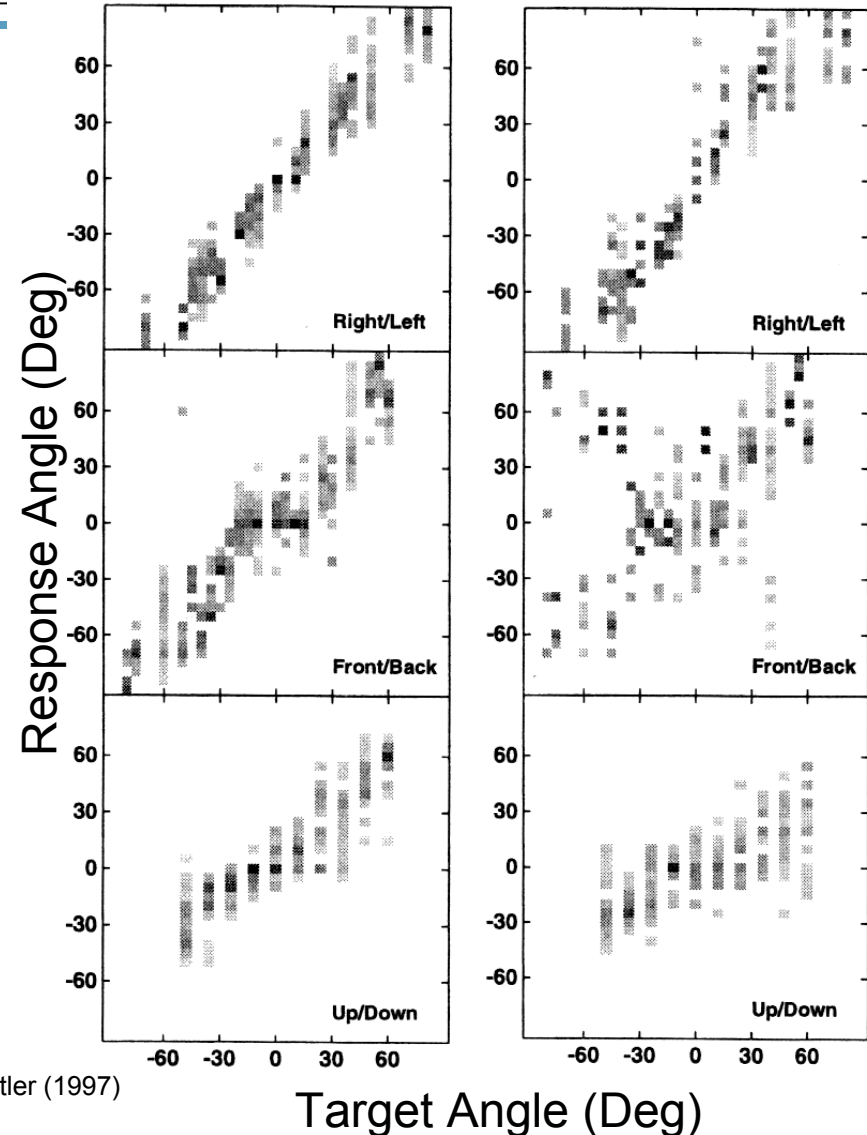


Whighthman & Kistler (1997)

- Can be resolved with the help of spectral cues

# Cone of Confusion

- Left panel:
  - Flat spectrum
- Right panel:
  - Scrambled spectrum
- Results:
  - More front-back confusions
  - Larger elevation error

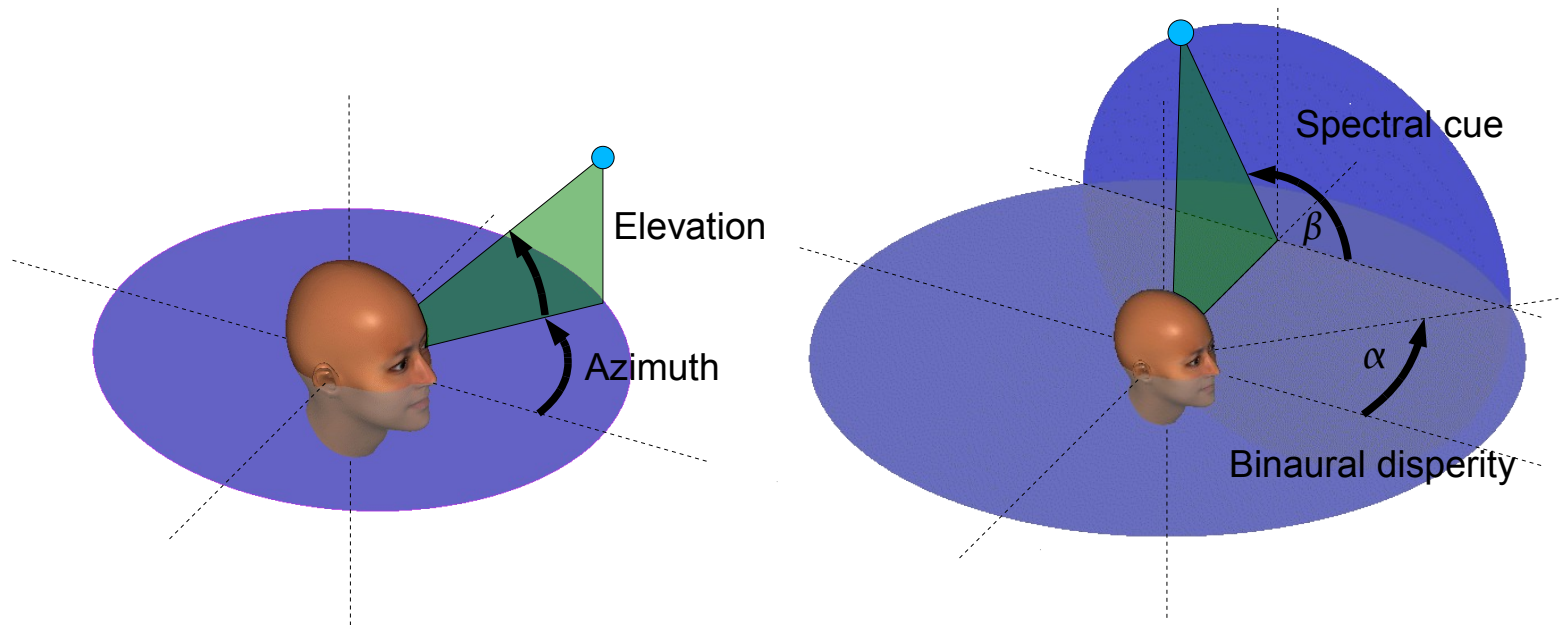


Whighthman & Kistler (1997)



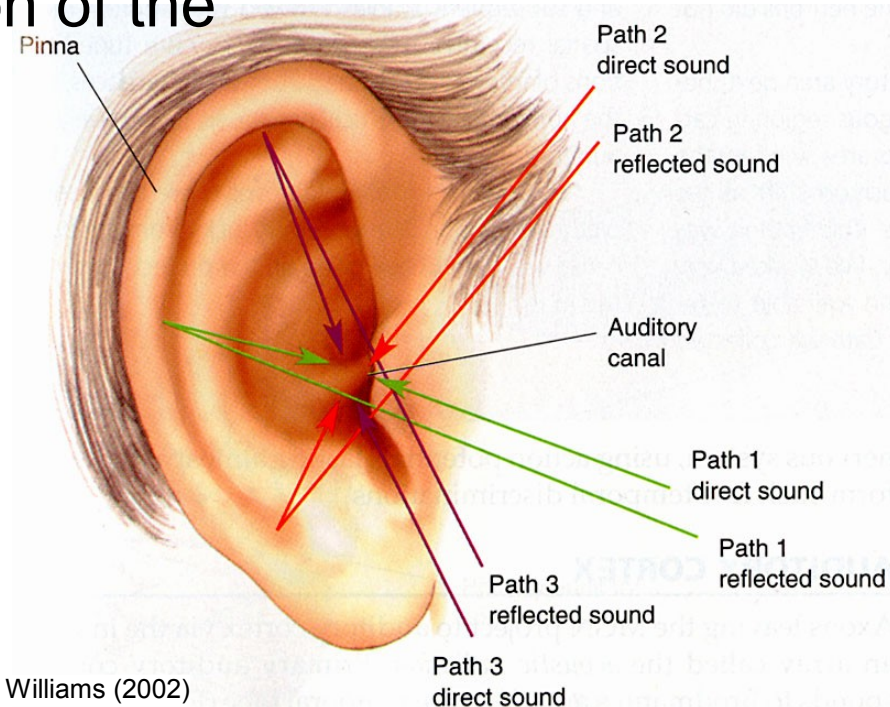
# Perceptually-Relevant Coordinate System

- *Geodetic coordinate system: Azimuth & Elevation*
- Horizontal-polar coordinate system:
  - Lateral angle: binaural disparity
  - Polar angle: spectral cues



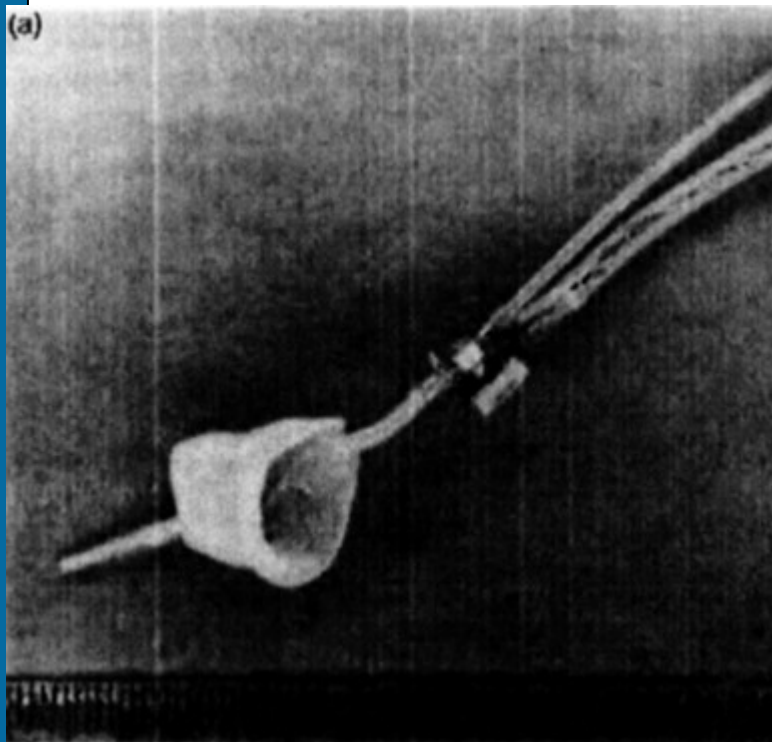
# Spectral Cues

- Head-related transfer functions (HRTFs)
  - Describe the filtering effect of the head, torso, pinna
  - Depend on the position of the sound source
- Time-domain:
  - Head-related impulse responses (HRIRs)

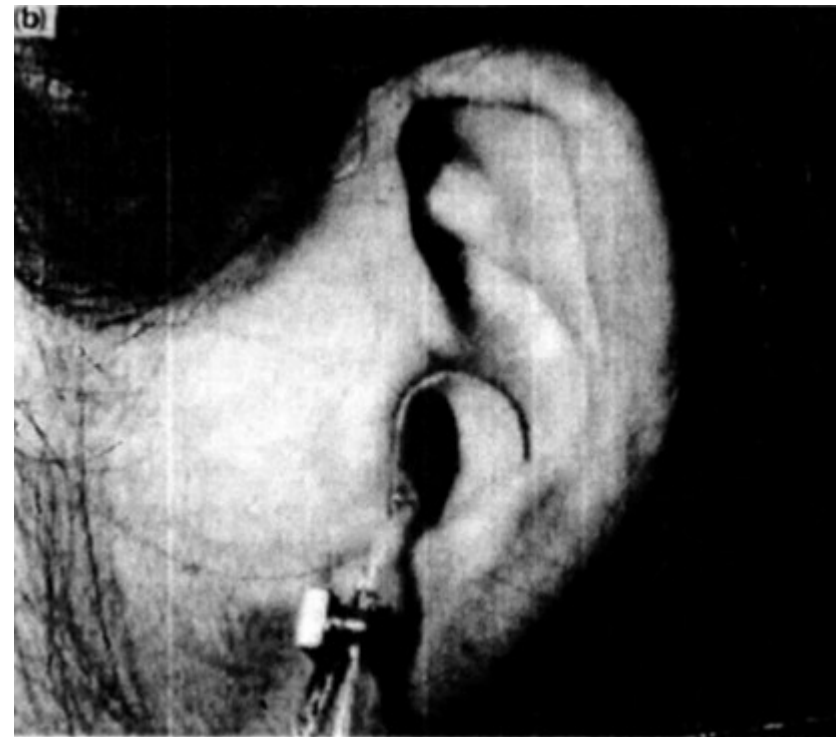


# HRTF Measurement

- Open ear canal



Wightman & Kistler (1996)



Wightman & Kistler (1996)

# HRTF Measurement

- Closed ear canal: simpler (less variability)

Probe microphone

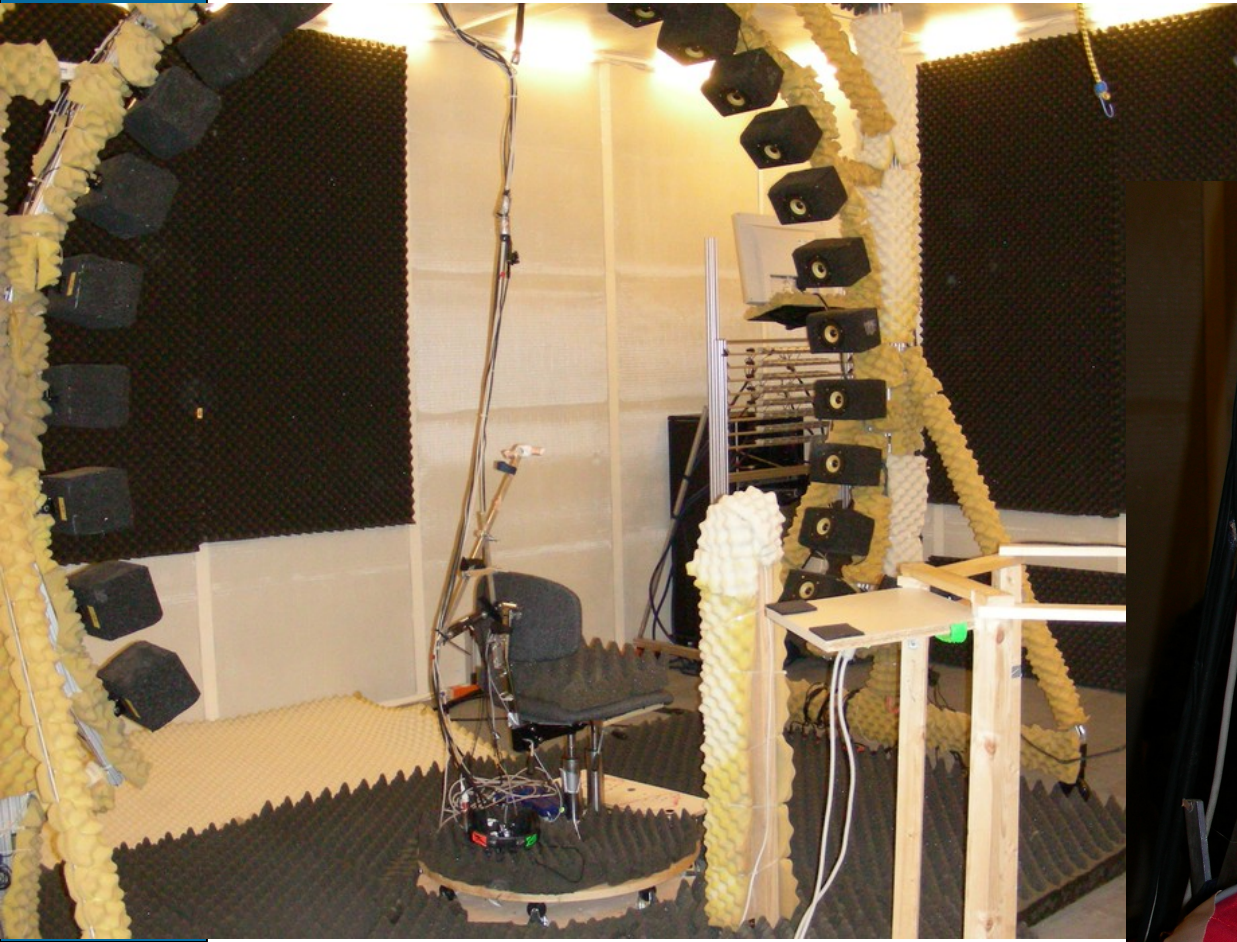


Electret capsule



# HRTF Measurement

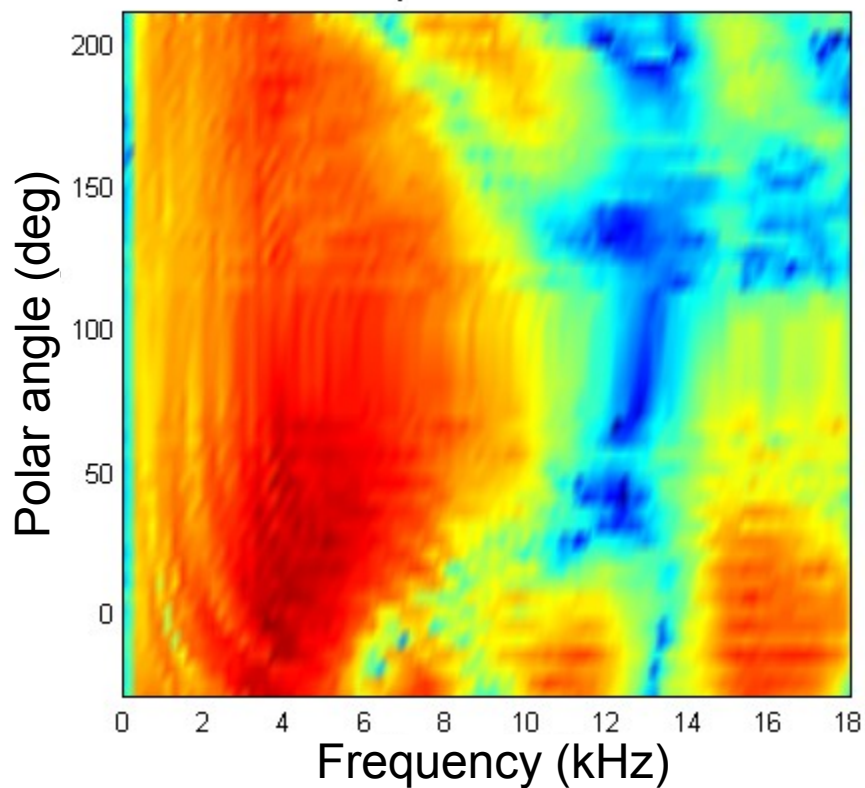
- System identification for many positions



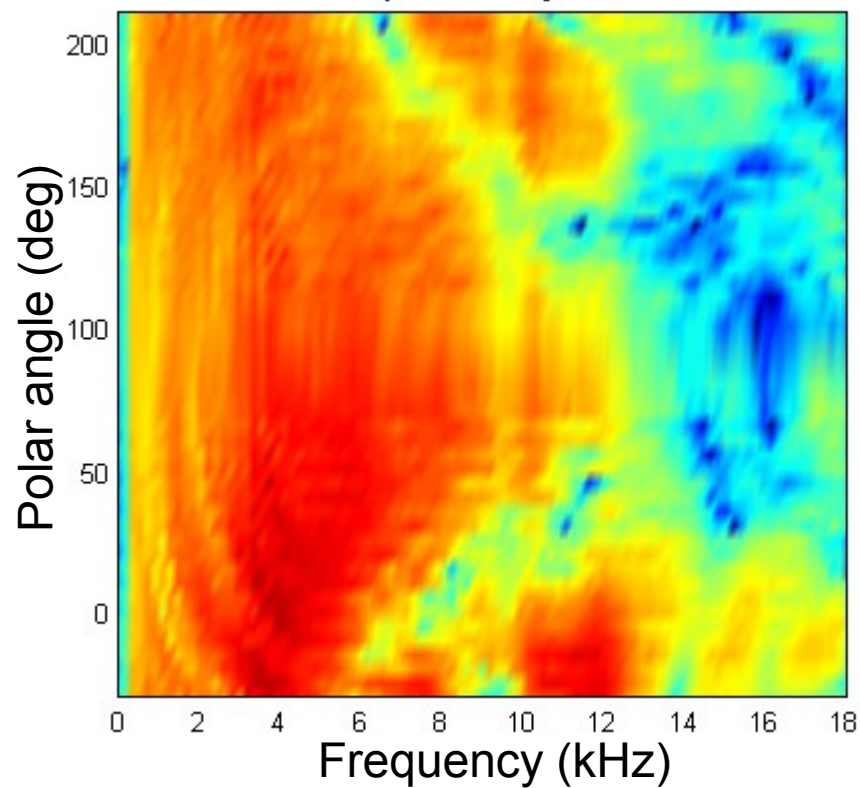
# HRTFs

- In the median (mid-sagittal) plane

PMajdak: HRTF left ear



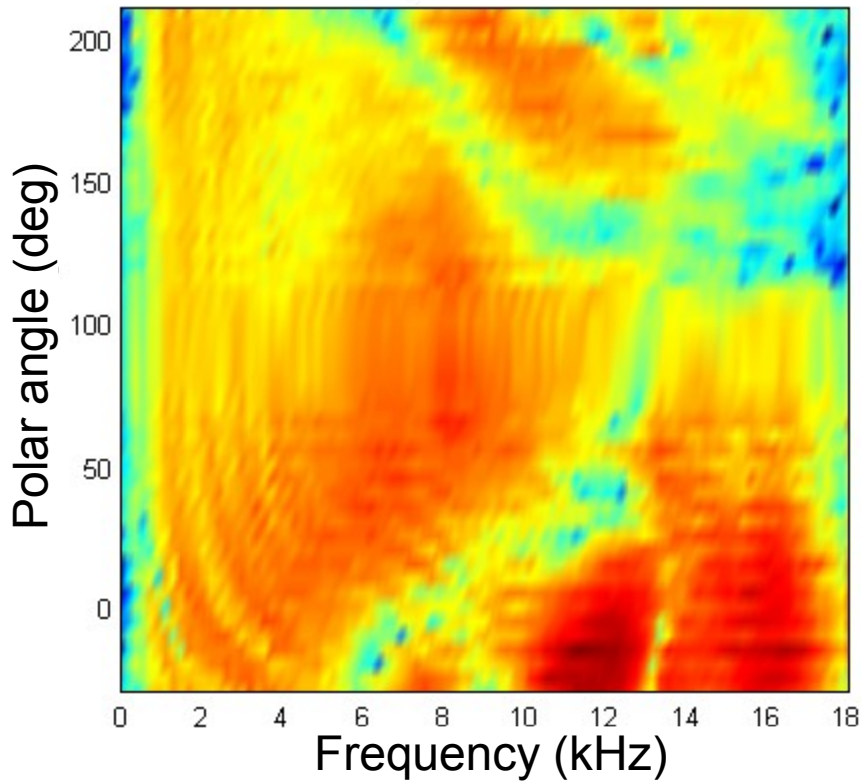
PMajdak: HRTF right ear



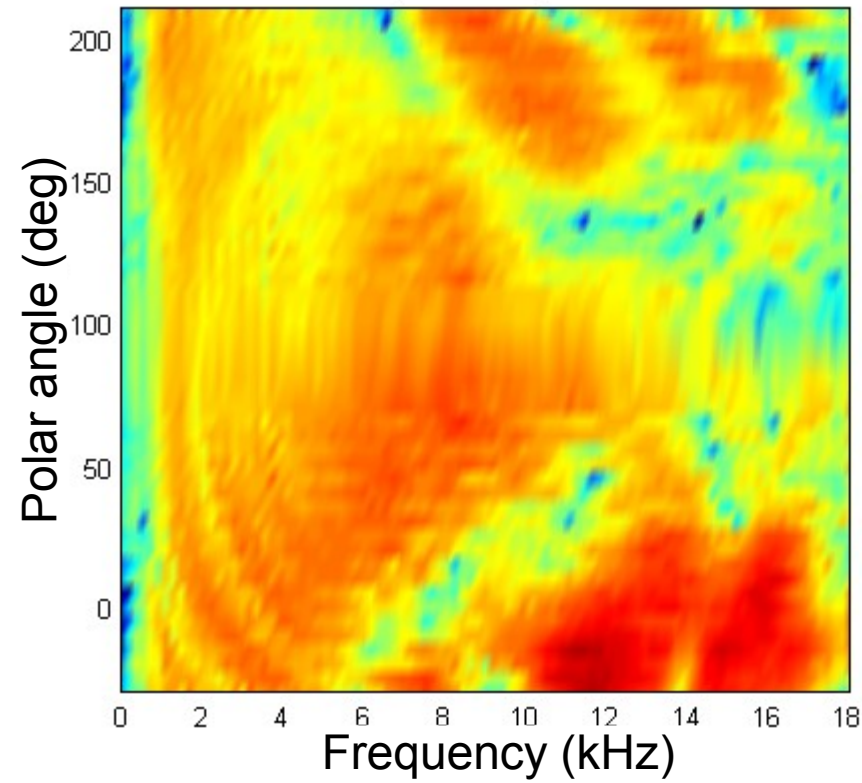
# Directional Transfer Functions (DTFs)

- Model for HRTFs:  $H(f) = C(f) \cdot D(f)$
- Consider directional cues only  $C(f) = \frac{1}{N} \sum_{i=1}^N \log |H_i(f)|$

PMajdak: DTF left ear



PMajdak: DTF right ear

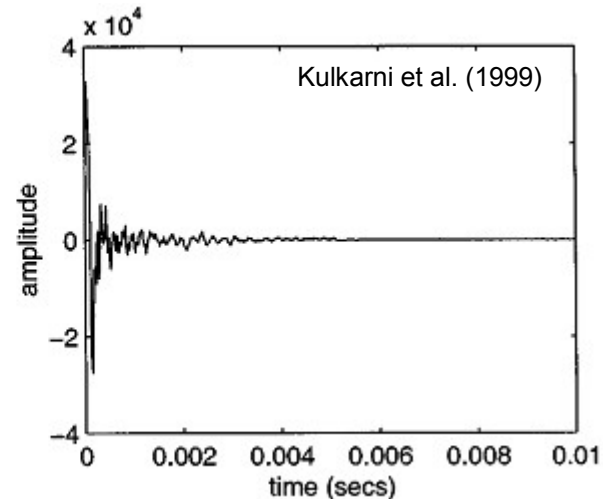
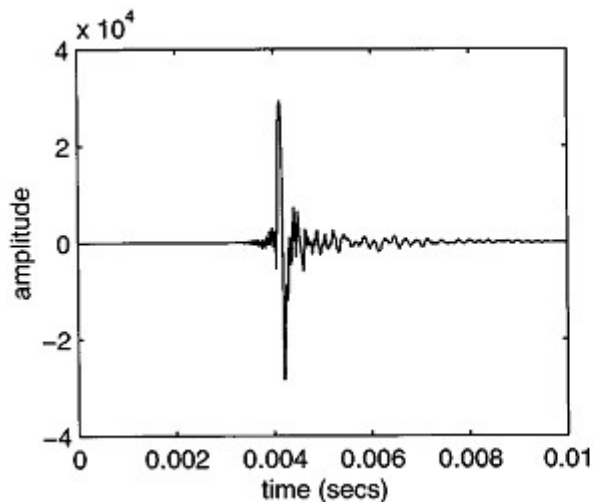


# Phase Spectrum?

- Model for the HRTFs:

$$H(f) = H_{ap}(f) \cdot H_{min}(f)$$

- $H_{ap}(f) = e^{i\varphi_{ap}(f)}$  ... All-pass filter (delay  $\rightarrow$  ITD)
- $H_{min}(f) = |H(f)| e^{i\varphi_{min}(f)}$  ... Minimum phase system

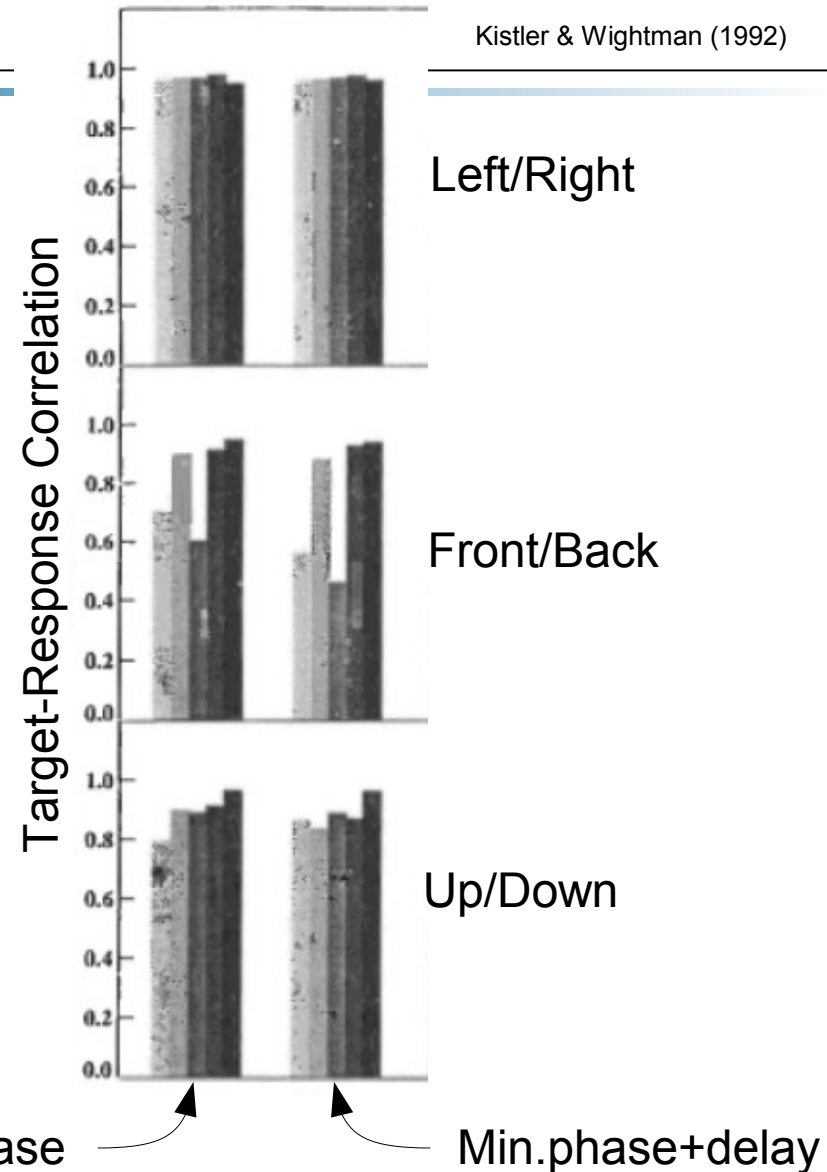




# Phase Spectrum

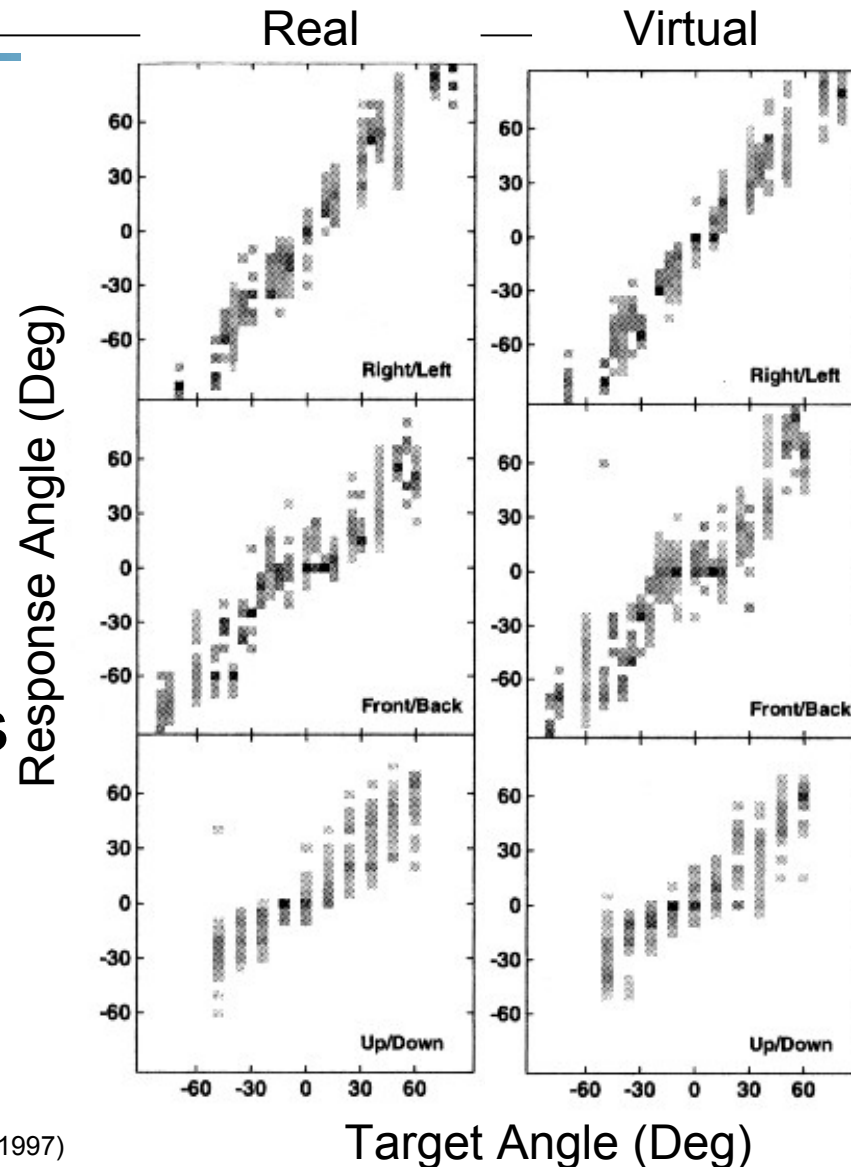
- Perceptually not relevant

Wightman & Kistler (1992)  
Kulkarni et al. (1999)  
Macpherson & Middlebrooks (2002)  
Hartmann et al. (2010)



# Signal Synthesis for Virtual Acoustics

- Filter signal with corresponding pair of DTFs
- Apply ITD  
(if DTFs modeled by min. phase+delay)
- Present the binaural signals via headphones



# Signal Synthesis for Virtual Acoustics

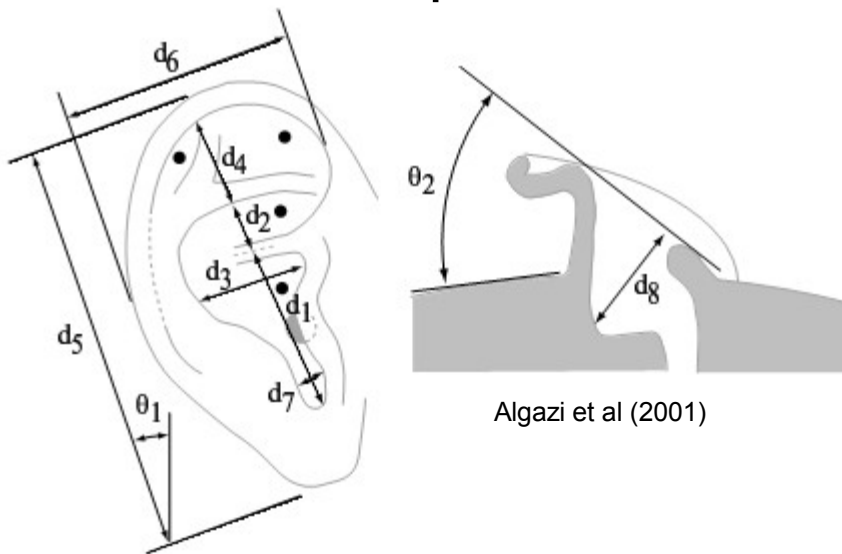
	Free-field	Own-ear virtual
rms lateral error (deg.)	$10.6 \pm 2.0$	$14.5 \pm 2.2$
Magnitude of lateral bias (deg.)	$2.9 \pm 3.1$	$3.1 \pm 3.9$
rms local polar error (deg.)	$22.7 \pm 5.1$	$28.7 \pm 4.7$
Magnitude of elevation bias (deg.)	$5.5 \pm 4.4$	$10.2 \pm 6.6$
Total quadrant errors (% of trials)	$4.6 \pm 5.9$	$7.7 \pm 8.0$
Quadrant error by target quadrant:		
Down-front (% of trials)	$0.6 \pm 1.1$	$1.0 \pm 2.6$
Up-front (% of trials)	$1.9 \pm 3.3$	$5.7 \pm 6.4$
Up-rear (% of trials)	$10.6 \pm 13.8$	$21.7 \pm 21.1$
Down-rear (% of trials)	$0 \pm 0$	$1.8 \pm 5.0$

Middlebrooks (1999)

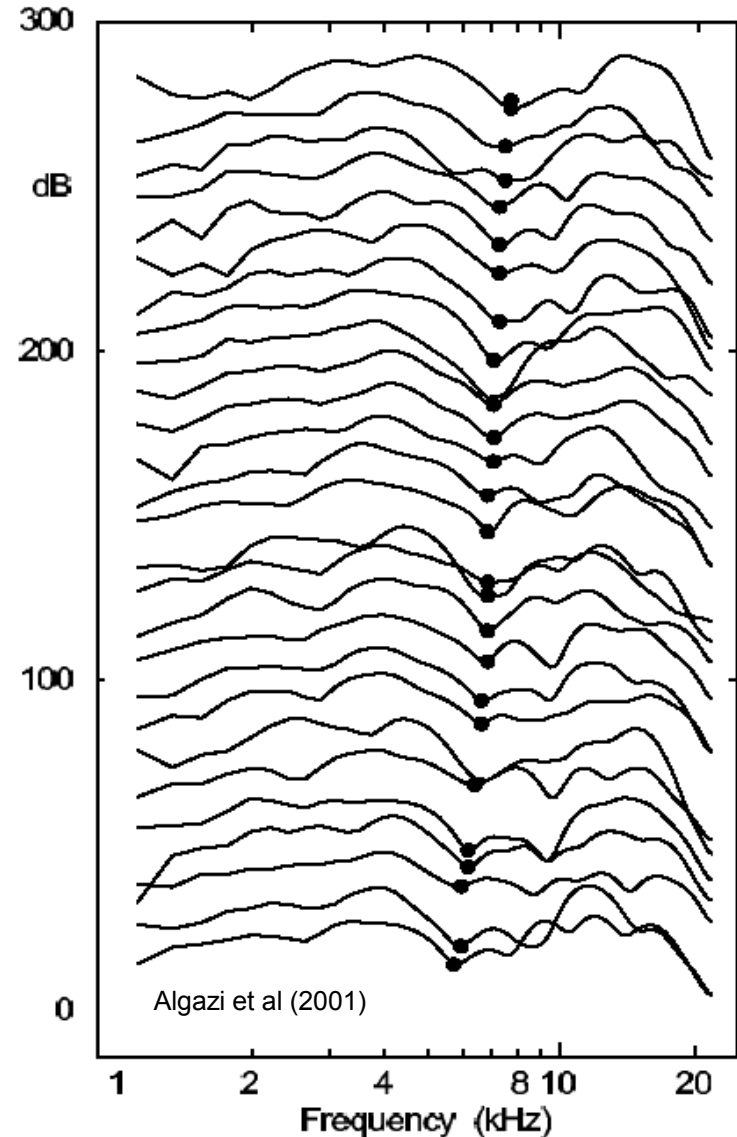
- So: Generic HRTFs for all?

# Subject-Dependency of HRTFs

- HRTFs depend on the anthropometry
  - HRTFs of 27 subjects
  - Sorted by the first notch
  - The same position



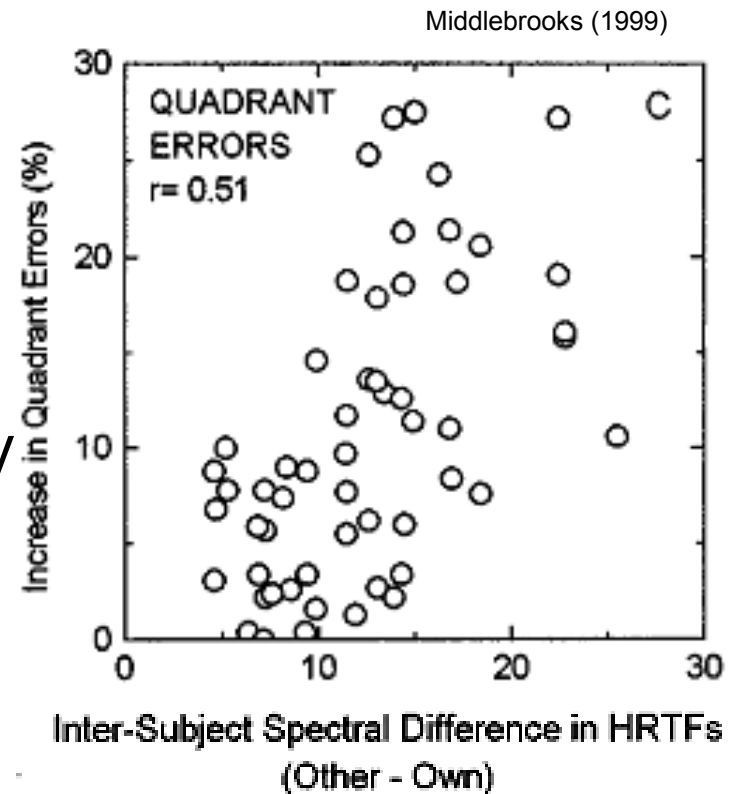
Algazi et al (2001)



Algazi et al (2001)

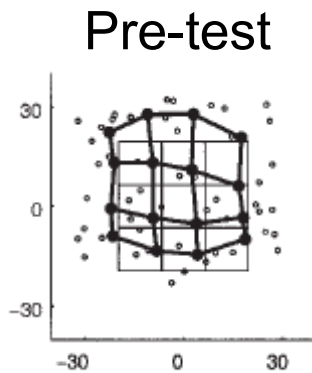
# Subject-Dependency of HRTFs

- Localization with others' ears?
  - Less externalization
  - More front-back confusions
  - Effect depends on subject compatibility:  
differences in anthropometry  
→ differences in HRTFs



# Plasticity in Sound Localization

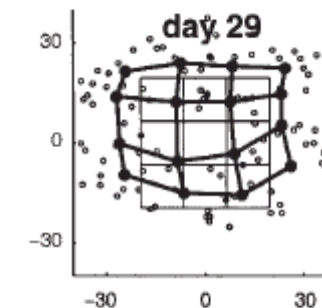
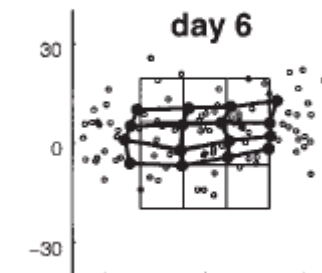
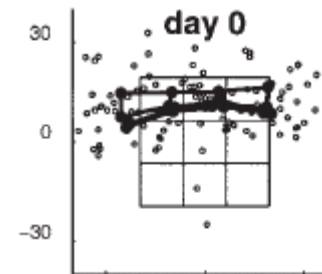
- Ability to recalibrate the auditory system



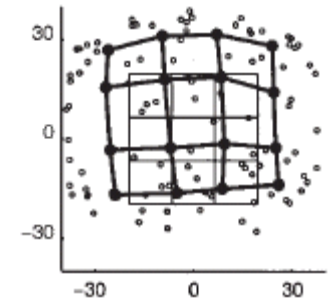
Original



Modified



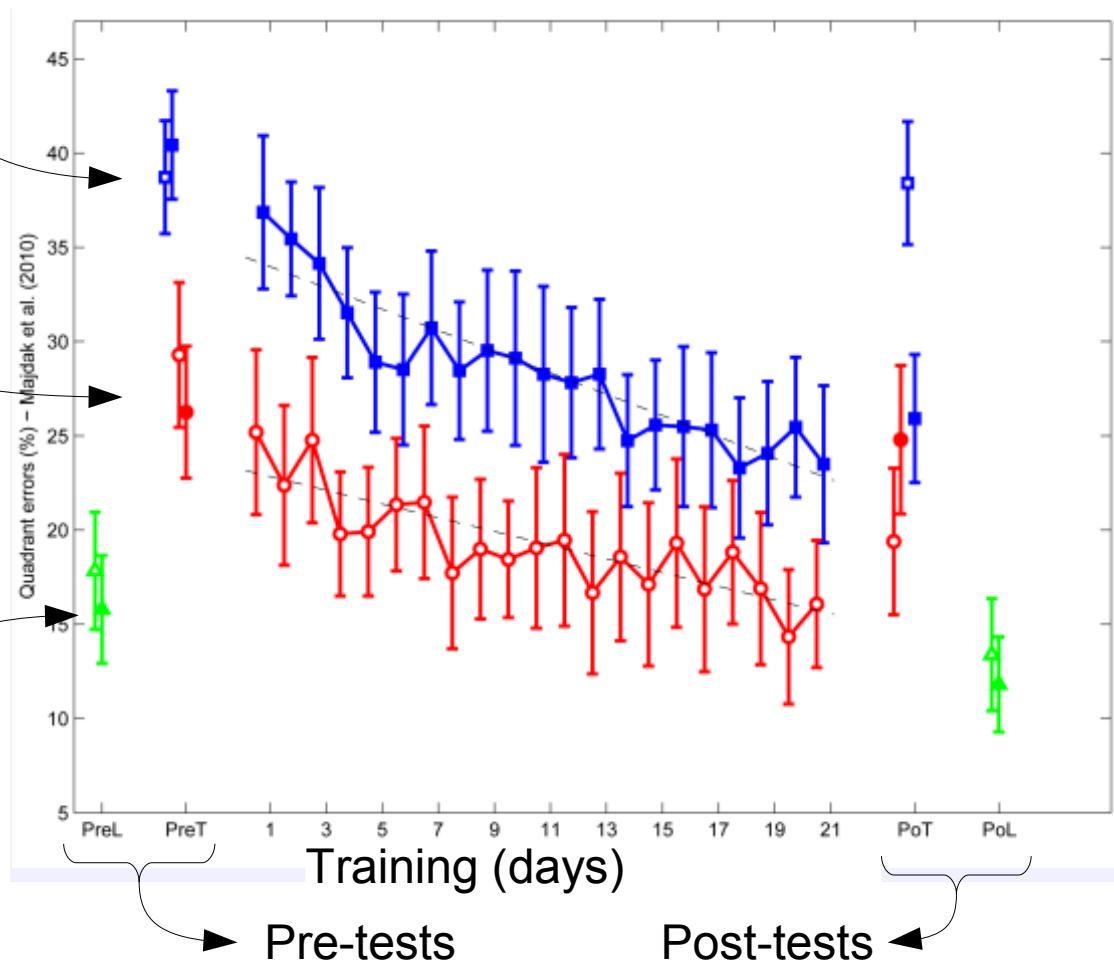
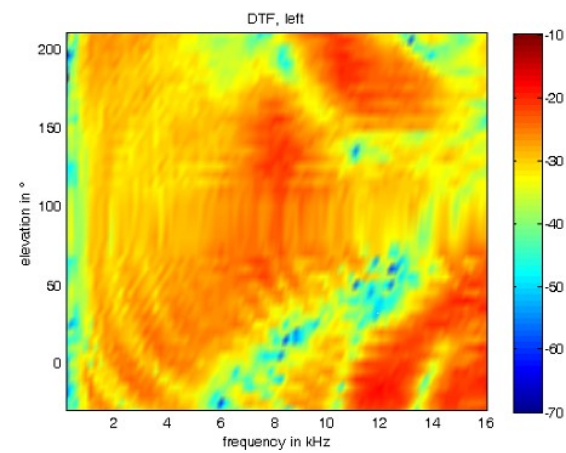
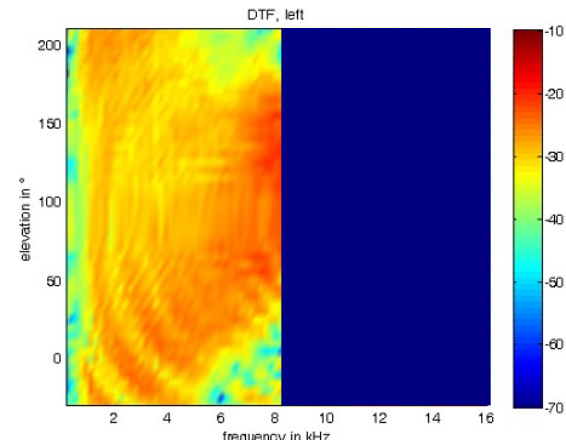
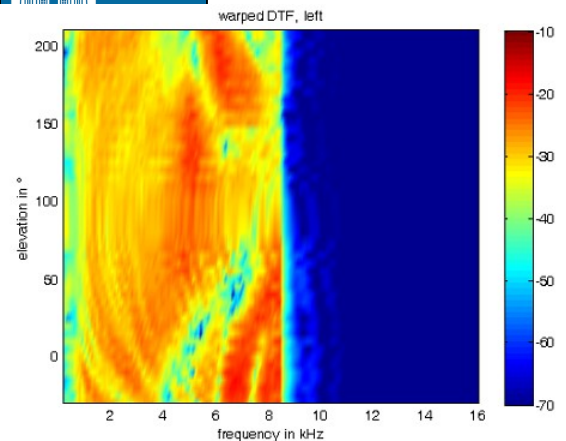
Post-test





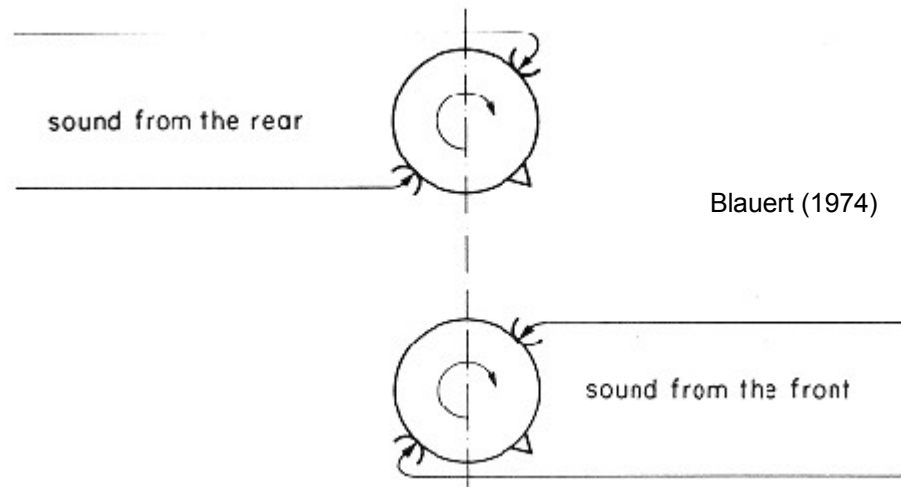
# Plasticity in Sound Localization: Supervised Training

(Walder, Laback, Majdak, 2010)



# Further Factors Affecting Sound Localization in Free Field

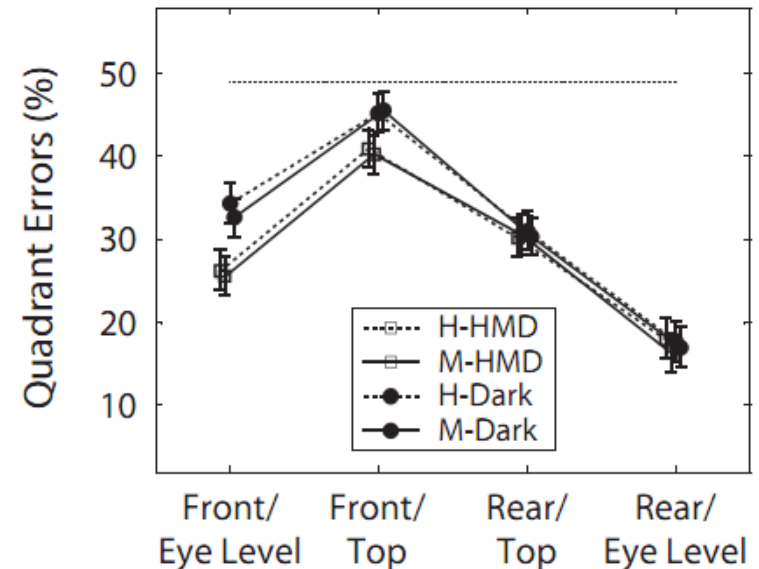
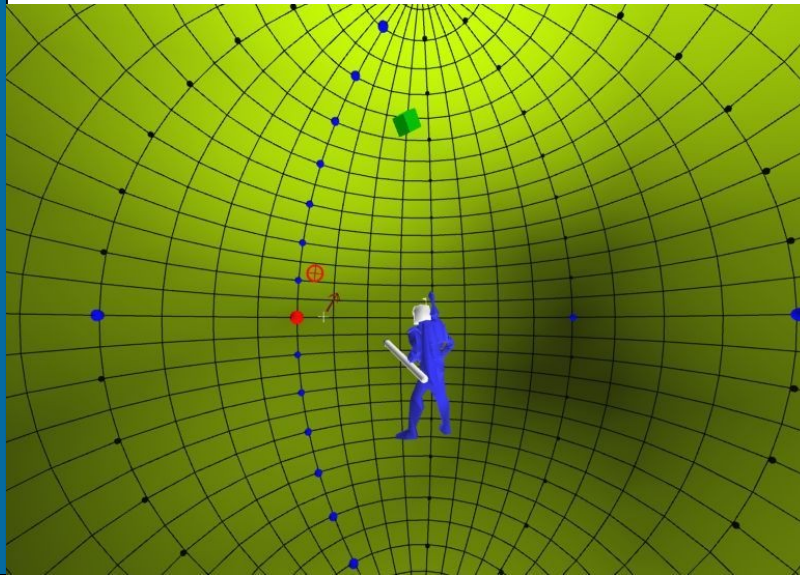
- Head movements:
  - Help to resolve front-back confusions (Perret & Noble , 1997)





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- Vision:
  - Visual feedback providing consistent information about the environment helps (Majdak et al. 2010)



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- Head movements:
  - Help to resolve front-back confusions (Perret & Noble , 1997)
- Vision:
  - Visual feedback providing consistent information about the environment helps (Majdak et al. 2010)
- Experience:
  - Training on localization using own HRTFs helps (Majdak et al. 2010)

# Summary

- Sound localization (single source, no room):

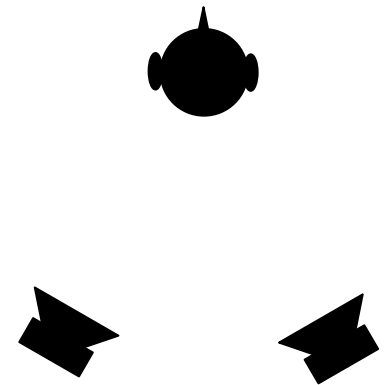
- Lateral positions:

- Relevant cues: Binaural and broadband
- Spectral shape negligible
- Easily derived from anthropometry



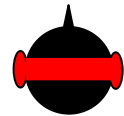
- Vertical positions (also front vs. back):

- Relevant cues: Monaural spectral shape
- Complex relation to the anthropometry
- Individualized HRTFs required
  - Generic HRTFs: problem not solved yet
- Long-term recalibration to modified HRTFs possible
  - A way towards an optimized generic HRTF?



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- Complex relation to the anthropometry
- Individualized HRTFs required
  - Generic HRTFs: problem not solved yet
- Long-term recalibration to modified HRTFs possible
  - A way towards an optimized generic HRTF?

